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9 **Quantifying the impact of rigid interparticle structures on heat transfer in granular**
10 **materials using networks**

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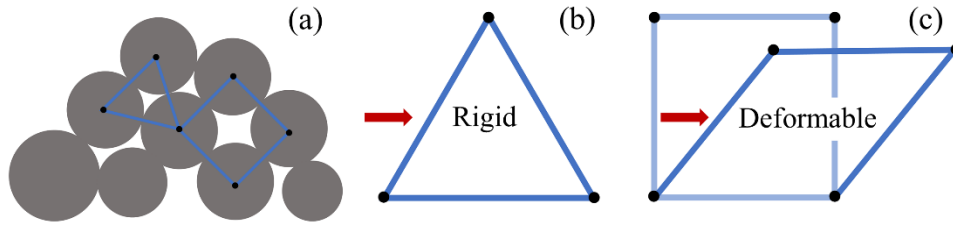
34 **Abstract:**

35 Coordination number can be used to quantify the particle connectivity and deformability of
36 a granular material. However, it is a local feature of particles at the microscale, and the use of
37 an average coordination number does not allow for full characterization of the microstructural
38 variation in the granular material. Mesoscale structures can be used to overcome this limitation:
39 triangular-like structures at the mesoscale tend to be rigid, whereas square-like structures tend
40 to be deformable. However, the effect of these structures on heat transfer has not been studied
41 in deforming granular materials. A better understating of how microstructure variation affects
42 effective thermal conductivity is necessary. This work constructs contact networks
43 representing the granular materials with particles as nodes and linking neighbouring nodes with
44 edges that represent particle contacts. Then, ‘3-cycles’ (i.e., a triangular structure) and
45 ‘clustering coefficients’ are extracted from the contact network. As contact thermal
46 conductance is vital to heat transfer and affected by particle shape, microscale three-
47 dimensional particle shape descriptors are also calculated. To calculate the effective thermal
48 conductivity of the granular assembly, a thermal network model is established by adding ‘near-
49 contact’ edges to the contact network and assigning a thermal conductance to each edge. The
50 results show that mesoscale local clustering coefficients can indicate the rigidity of granular
51 materials and, together with particle shape descriptors, can be used to well predict the effective
52 thermal conductivity of granular materials under deformation.

53 **Keywords**

54 Heat transfer; Rigidity; Thermal network model; Microstructure; Deformation.

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Fig. 1. In representing the structure of a granular material in the network, a triangular structure (a ‘3-cycle’ in complex network theory) is rigid whereas a quadrilateral structure is deformable.

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In addition to the microstructure (rigidity) of the packings that can be characterized by the 3-cycle or cluster coefficients, particle contact thermal conductance is also important in the overall heat conduction [25]. In dry materials, the contact conductance is believed to be affected by particle shape [26, 27], as particle shape affects both the contact number and contact area [1, 28]. Therefore, a three-dimensional particle shape descriptor is employed here to study the variation in λ_{eff} .

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To extract the ‘3-cycle’ and particle shape descriptors of granular materials, their internal microstructural information should be acquired. High-resolution X-ray computerized tomography (CT) techniques applied to granular materials can generate sequential CT images at a certain interval (resolution) [29-31]. Based on the images, the particle geometrical information and connectivity can be extracted using imaging postprocessing techniques. The geometry of the granular materials can also be reconstructed and numerical simulations can be undertaken to estimate their λ_{eff} . Finite element simulation (FEM) is an available method to compute the λ_{eff} but it is time-consuming because fine meshes are required to discretize the interparticle contacts and the interface between solid and pore phases. It usually overestimates λ_{eff} due to oversmoothing the interparticle contact areas [32, 33] and the lack of consideration of particle surface roughness [32]. Alternatively, network models [34-36] can discretely represent particle packings and calculate the heat transfer through interparticle contacts (real contacts) and small gaps between particles (near-contacts). However, very few thermal network models are available for nonsphere packings. The thermal conductance network model (TCNM) [37] developed by our team extended the application to packings of irregular (i.e., nonspherical) particles.

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This article aims to find the relationship between the deformability of granular materials or rigidity and the λ_{eff} of granular materials using network techniques. Five granular materials with different particle shapes were scanned using CT techniques under different loadings. For each material at each level of compaction, four smaller subsamples were selected to (i) construct contact networks to calculate the number of mesoscale 3-cycle and clustering coefficients to characterize the rigidity of granular materials, (ii) construct thermal conductance network models (TCNMs) to calculate λ_{eff} , and (iii) compute the shape descriptors of individual particles. The λ_{eff} calculated from TCNMs were compared to those from FEM and experiments. Then, multiscale parameters were used to analyze the reasons underlying the λ_{eff} variation in deforming materials.

137 2 Materials

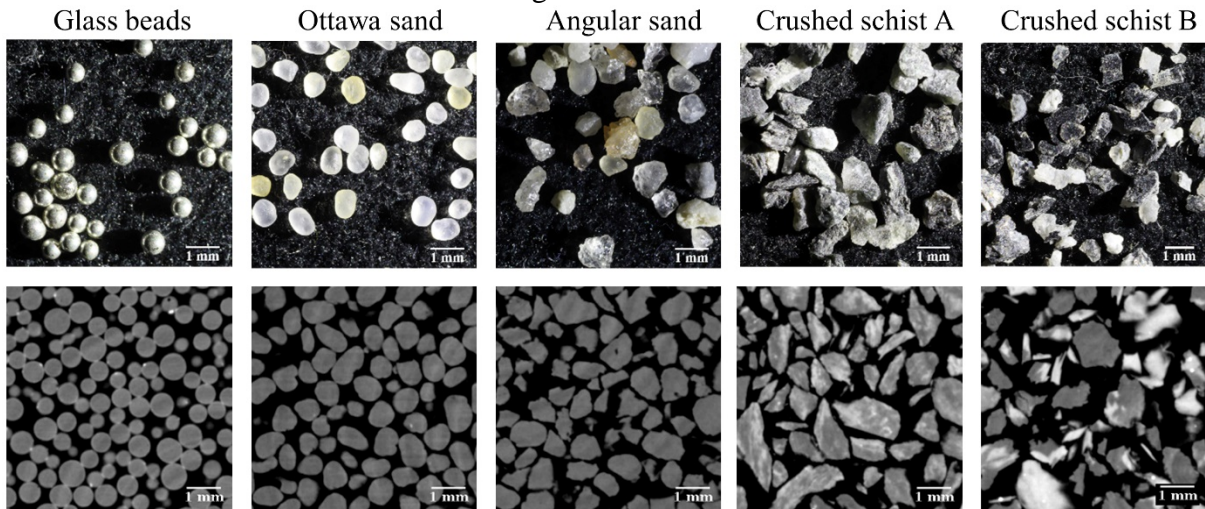
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Five granular materials were used in this work. The pictures in the upper row of Fig. 2 show that the selected materials have different particle shapes. The round glass beads were made of silica and have a silver coating. The Ottawa sand was sieved following ASTM standard C778

141 [38] to achieve particles retained between sieve No. 20 (0.60 mm) and No. 30 (0.85 mm).
 142 Particles in both Ottawa sand and Angular sand are mainly made of quartz, but the former are
 143 more rounded. Crushed schist A is made of chlorites and the particles in the packings are more
 144 irregular than the Angular sand. Crushed schist B has the most irregular particles, which consist
 145 of quartz and biotite [39]. Each material was air-pluviated into a cylindrical container with a
 146 diameter of 25 mm and a height of 25 mm. This container was equipped with a loading module
 147 designed by Afshar et al. [40]. The five materials were scanned under different axial loads
 148 corresponding to 0, 2, 6.1 and 10.2 MPa stress levels. The images shown in the bottom row of
 149 Fig. 2 are typical cross-section images of the five materials without loading (0 MPa). The
 150 voxels with a resolution of 13 μm in them present different grayscale that indicates the density
 151 of the mineral. The distinct grayscale in the voxels of the crushed schist CT image results from
 152 the corresponding different mineral components. Selecting the resolution of CT images is a
 153 trade-off between obtaining fewer grains with higher resolution and more grains with lower
 154 resolution. CT images with high resolution could better identify the partial contacts which may
 155 be wrongly recognized as a “complete or full contact” between particles [41] otherwise (at
 156 lower resolutions) and result in an overestimate [42] of interparticle contact area between
 157 irregular grains. The particle size of the five materials is summarized in Table 1.

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<Fig. 2 around here>



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 161 *Fig. 2. Five natural sands with different particle shapes. The pictures in the first row were*
 162 *photographed and the images in the second row were scanned with computed tomography.*

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Table 1 Particle size characteristics of the selected granular materials

| Sample | d_{50} (mm) | Particle size range (mm) |
|-----------------------|---------------|--------------------------|
| Glass beads | 0.60 | 0.50 – 0.70 |
| Ottawa sand | 0.73 | 0.60 – 0.85 |
| Angular sand | 0.89 | 0.60 – 1.18 |
| Crushed schist rock A | 0.84 | 0.50 – 1.18 |
| Crushed schist rock B | 0.84 | 0.50 – 1.18 |

165 3 Methods

166 3.1 Network construction

167 Two types of networks are constructed in this work. *Contact* networks are constructed to
168 acquire the 3-cycles and cluster coefficients using complex network theory. *Thermal* networks
169 are extensions of the contact networks that also consider near-contacts as edges (Fig. 3) and it
170 can be used to calculate the λ_{eff} by adding thermal conductance at the edges.

171 As summarized in Fig. 3, a sequence of CT images with a representative element volume is
172 cropped from the scanned sample and the image noise is decreased by using 3D Median filter
173 in Step 1. These images are used to reconstruct the (3D) geometry in which the two phases
174 (solid in black and pore in grey) are segmented with a common multilevel Otsu segmentation
175 method [42-45] implemented in Fiji with automatic parameters selection [46] in Step 2. They
176 do differ for each sample tested. To determine the location of each particle for constructing the
177 networks, the watershed segmentation from MorphoLibJ [47] in Fiji is employed to split
178 connected particles [48] in Step 3. Although Taylor et al. [49] found that the watershed
179 segmentation with a 26 voxel neighbourhood can better capture the boundary of irregular
180 particles, the results usually overestimate the surface (contact) area [50]. Therefore, a 6-voxel
181 neighbourhood was used in this work because it has been shown to render satisfactory results
182 [50].

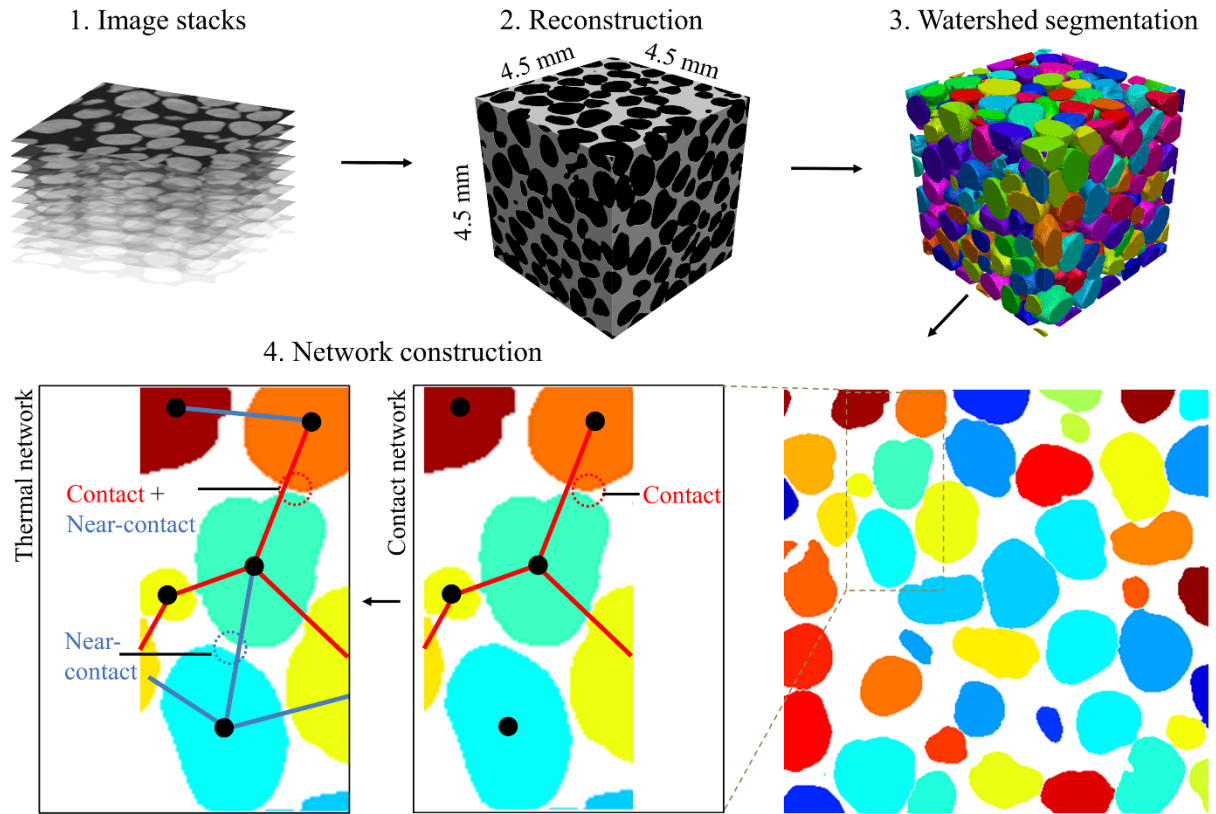
183 After the watershed segmentation, each particle is assigned a unique identifier (ID) and its
184 centroid is calculated as the average coordinates of the voxels in the particle. To identify the
185 real interparticle contact and near-contacts, the voxels in each particle are grouped as boundary
186 voxels if they are adjacent to anything other than the voxels in the same particle. A subset of
187 these boundary voxels is identified as interparticle contact voxels if they also border on another
188 particle (and its corresponding boundary voxels). To efficiently identify the near-contacts,
189 watershed segmentation is also applied to the void space (grayscale colours in Fig. 4-left) by
190 first inverting the colour of phases and then following the same steps as with the solid phase
191 watershed segmentation. The particle-pore connection (orange arrows) can be detected if the
192 boundary voxels border on pore space. Then, the particle-pore-particle connections are
193 identified as the location of potential near-contacts. Next, to determine the voxels that form
194 part of a near-contact, cylinders representing gaps between particles or ‘gap’ cylinders are
195 created for boundary voxels on a particle, as shown in Fig. 4-right, and their lengths L_i^g are
196 computed as the minimum distance to the boundary voxels on the neighbouring particle.
197 Finally, the gap cylinder(s) will be considered to be in a near-contact if their respective lengths
198 are shorter than a threshold ϵ . The threshold ϵ is selected as half of the mean particle radius
199 after a calibration [37].

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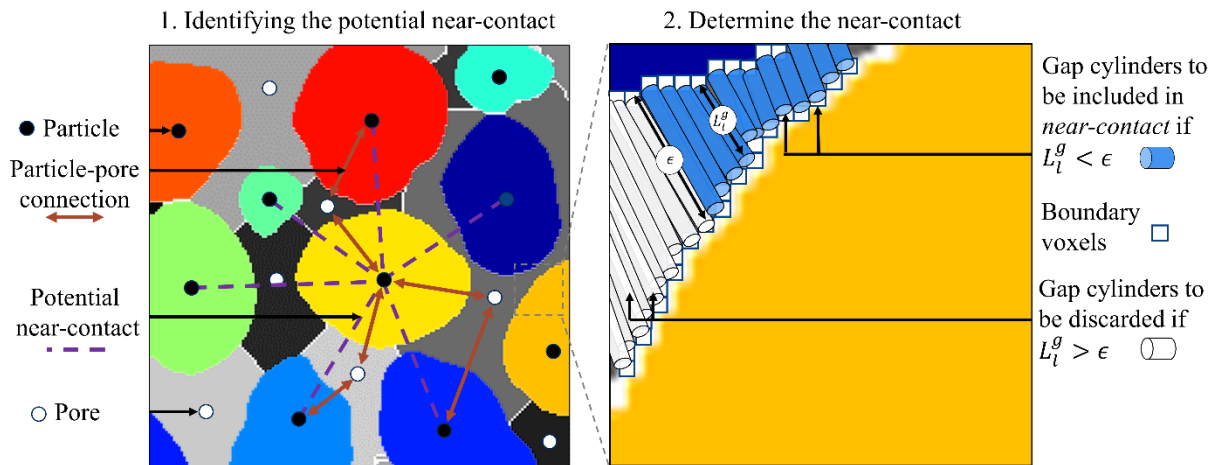
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< Fig. 3 around here >



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204 *Fig. 3. Procedures to construct a contact network and a thermal network. Contact edges are in red,*
205 *near-contact edges are in blue.*

206
207 < Fig. 4 around here >
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209
210 *Fig. 4. Identification of near-contacts. ϵ is the threshold length ($D_{50}/4$ in this case) for near-*
211 *contacts.*

212 3.2 Contact network features

213 After constructing contact networks, three contact network features (3-cycle, local
214 clustering coefficient and global clustering coefficient) are extracted as rigidity features to
215 indicate the mesoscale structure of granular materials. N_3-cycles is calculated as the number
216 of triangles in the contact network. Local clustering coefficients [51] and global clustering
217 coefficients [20] measure the density of triangles and can be computed using Equations 1 and

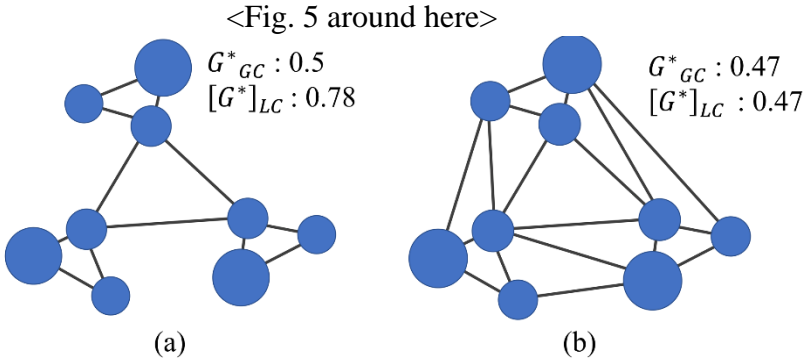
218 2, respectively. The local clustering coefficient, in particular, can quantify the fraction of
 219 possible triangles through each node. Clustering coefficients also indicate how fractured or
 220 integrated the contact network is. For instance, Fig. 5 (a) is a relatively fractured network that
 221 has a higher clustering coefficient than the network in Fig. 5 (b).
 222
 223

$$[G^c]_{LC}(i) = \frac{2T(i)}{N(i)(N(i) - 1)} \quad (1)$$

224
 225 where $T(i)$ is the number of triangles that pass node i , and $N(i)$ is the degree of node i .
 226
 227

$$G^c_{GC} = 3 \cdot \frac{\text{number of triangles}}{\text{number of connected triples}} \quad (2)$$

228 where a triple is a group of three nodes that can contain either three edges (in a 3-cycle) or two
 229 edges.
 230
 231



232
 233 *Fig. 5. (a) A fractured network with a local clustering coefficient of 0.78 and global clustering*
 234 *coefficient of 0.5 (b) An integrated network with a local clustering coefficient of 0.47 and global*
 235 *clustering coefficient of 0.47.*

236
 237 **3.3 Thermal conductance network model**

238 **3.3.1 Thermal conductance calculation**

239 The thermal conductance at the thermal network edges is required to calculate the effective
 240 thermal conductivity of granular materials [34]. For a cylinder with cross-sectional area A ,
 241 length L and thermal conductivity λ , its heat conductance C can be calculated as $C = \lambda A/L$.
 242 Hence, equivalent cylinders are used to represent the heat conductance in network edges. These
 243 representations were proposed by Batchelor and O'brien [52] for randomly arranged sphere
 244 packings and then developed for more general assemblies, as validated by Yun and Evans [34]
 245 for spheres and Shapiro et al. [53] for powder packed beds. As heat conducts through solids,
 246 real interparticle contacts and near-contacts, three types of equivalent cylinders [37] are
 247 considered in this work and summarized in Fig. 6: (i) a particle cylinder with conductance C^p ,
 248 (ii) a real interparticle contact cylinder $C^{contact}$ and (iii) a near-contact cylinder C^{gap} . The
 249 conductances through a 'particle' cylinder and interparticle contact cylinder can be computed
 250 using Equations 3 and 4, respectively,

$$C^p = \lambda_s \frac{A^p}{L^p} = \lambda_s \frac{\chi V^p / L^p}{L^p} \quad (3)$$

251 where λ_s represents the thermal conductivity of the solid and the void phase. L^p is the distance
 252 between the centroid of a particle and its corresponding contact. L^p is equal to the particle
 253 radius for a spherical particle. The particle cylinder area A^p is derived as $\chi V^p / L^p$. Here, V^p is
 254 the particle volume and χ is a model coefficient that can be computed as $1/N(i)$ where $N(i)$
 255 is the coordination number of particle i (i.e., the degree of node i in contact network).
 256

$$C^{contact} = \lambda_s \frac{\kappa A^C}{L^C} = \lambda_s \frac{\kappa \sum_{i,j,k} A_{i,j,k}^v}{3 L^v} \quad (4)$$

257 where A^C is the interparticle contact area computed as the sum of the area of contact voxel
 258 $\sum_{i,j,k} A_{i,j,k}^v$ and L^v is the length of a voxel. However, interparticle contact is essentially a
 259 combination of contact points because of the particle surface roughness [54]. The results of
 260 Askari et al. [54] show that a 25% overestimation of λ_{eff} may occur due to neglecting the
 261 roughness. Thus, κ is set as 0.75 in our work. L^C is the length of the interparticle contact
 262 cylinder, assumed to be $3 \cdot L^v$ [37] refer to the work of Bauer and Schlunder [55] that was
 263 validated by Shapiro et al. [53].

264 Interparticle contact is usually over-smoothed during the threshold segmentation, as
 265 illustrated in Fig. 7, where the voxels partially filled with solid and void are incorrectly
 266 identified as a contact. The over-smoothing of the contact area results in a higher λ_{eff} in
 267 simulation [32, 33]. Since the partially filled voxels have specific grayscales, a penalty
 268 coefficient τ [37] is introduced to correct the area of partially filled voxels as:

$$A_{i,j,k}^v = \left(\frac{g_{(i,j,k)}}{g_{max}^{contact}} \right)^\tau L_v^2 \quad (5)$$

269 where $g_{(i,j,k)} \in (0,255)$ is the gray value of each voxel (i, j, k) at the interparticle contact and
 270 the $g_{max}^{contact}$ is the largest value among them. The power of τ is used to vary the severity of the
 271 penalty and is set as 10 [37] after calibrating the λ_{eff} of sphere packings using the results of
 272 an existing thermal network for sphere packings [34].

273 Near-contact cylinders are generated based on the near-contacts identified in Fig. 4. Then,
 274 the conductance at near-contact cylinders C^{gap} can be calculated as:

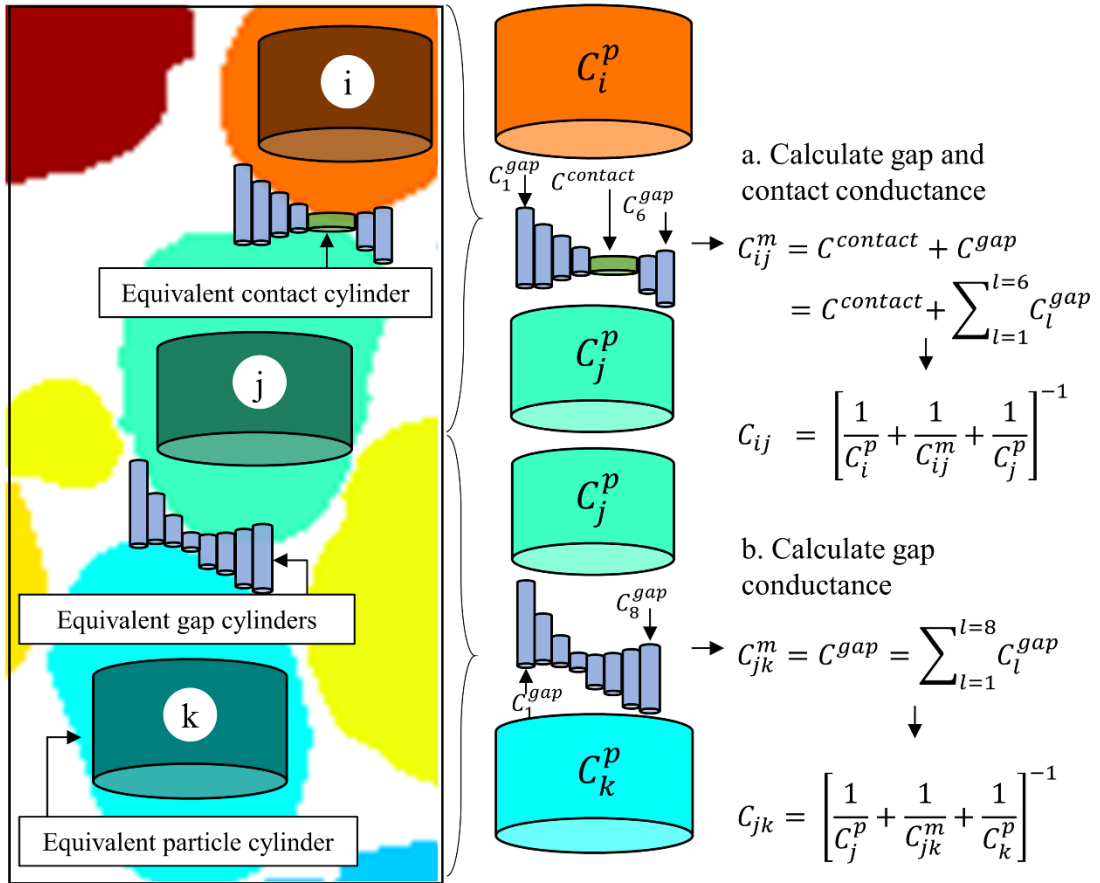
$$C^{gap} = \sum_l C_l^g = \lambda_v (L^v)^2 \sum_l \frac{1}{L_l^g} \quad (6)$$

275 where λ_v represents the thermal conductivity of the void phase and L_l^g is the length of the near-
 276 contact cylinder.

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<Fig. 6 around here>

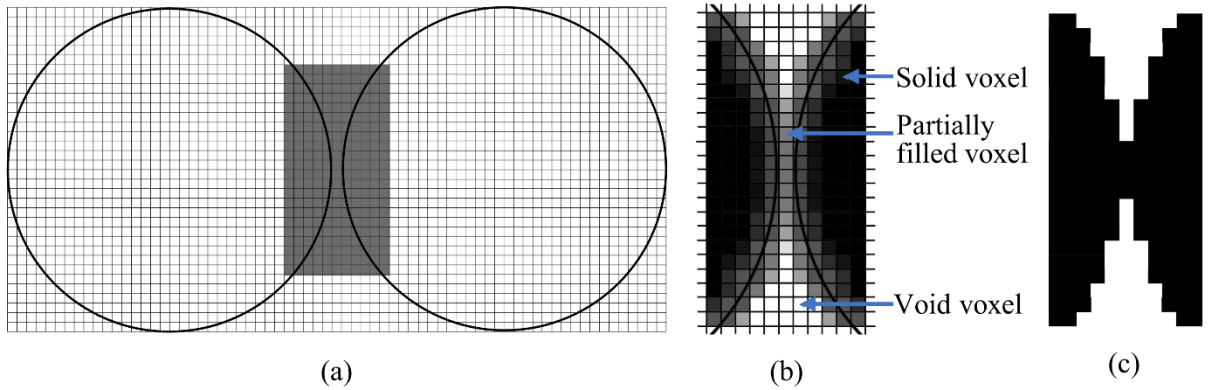


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Fig. 6. Computation of thermal conductance in the thermal conductance network (TCNM).

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<Fig. 7 around here>



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Fig. 7. Over-smoothing of CT images after threshold segmentation: (a) Two discs with a 1-pixel gap; (b) a small gap in grayscale; (c) over-smoothing in the contact after threshold segmentation (after [42]).

287 3.3.2 Effective thermal conductivity calculation

288 To calculate the λ_{eff} of dry granular materials by solely considering heat conduction, the
 289 heat flux Q_{ij} of an edge connecting nodes i and j is solved by importing the computed thermal
 290 conductances to Fourier's law (Equation 7) as part of the open-source Python library
 291 OpenPNM [56]. As this study focuses on the structure variation beyond the mineralogy, the
 292 thermal conductivity of the solid was fixed at 3 W/(m K) [1, 34, 57] and the thermal

293 conductivity of the air filled in the void space is 0.025 W/(m K). The boundary temperatures
 294 at the top and bottom nodes are 293 K and 292 K, respectively. The heat flux is calculated as:

$$\sum_{i \rightarrow j} Q_{ij} = \sum_{i \rightarrow j} C_{ij}(T_i - T_j) \quad (7)$$

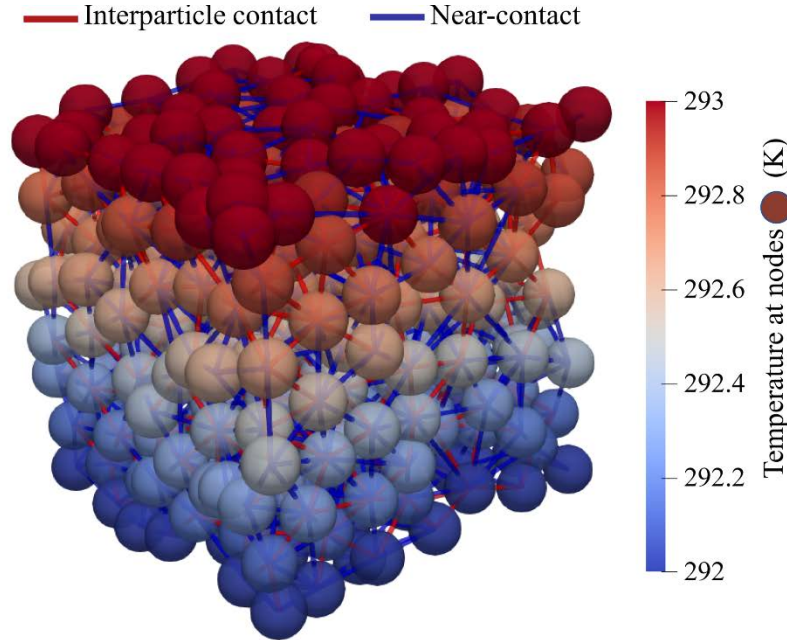
295
 296 where C_{ij} is the conductance of the interparticle contact of the near-contact conductance and T_i
 297 and T_j are the temperatures at nodes i and j .

298 After calculating the local heat flux Q_{ij} at each edge, the total heat flux in a typical cross-
 299 sectional plane perpendicular to the heat transfer direction can be used in Equation 8 to compute
 300 the λ_{eff} of the sample. A simulation result by TCNM is shown in Fig. 8.

$$\lambda_{eff} = \frac{\frac{1}{A} \int_A Q_z dA}{(T_a - T_b)/L} = \frac{\frac{1}{A} \sum Q_{ij}}{(T_a - T_b)/L} \quad (8)$$

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 302
 303

<Fig. 8 around here>



304
 305 *Fig. 8. TCNM simulation results showing the temperature of each node. From this network system,*
 306 *it is easy to see paths of heat transfer: interparticle contacts are shown in red and the near-*
 307 *contacts are blue.*

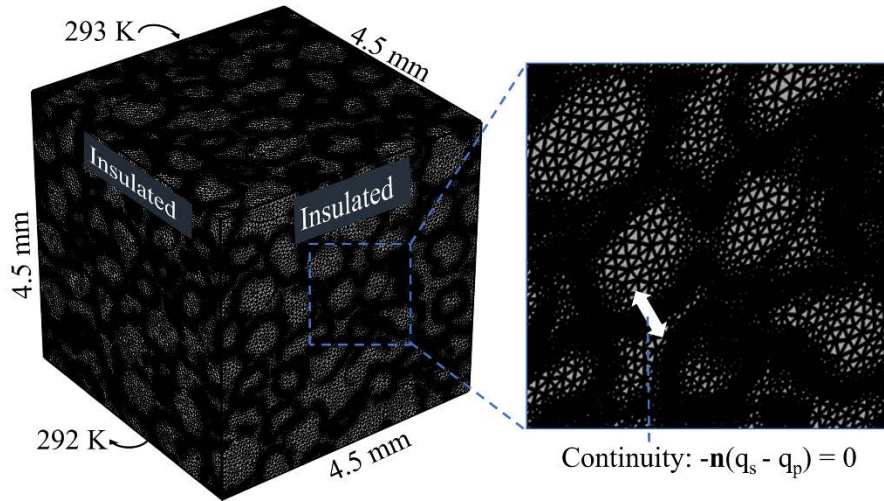
308
 309 **3.4 Finite element simulation and laboratory measurement**

310 To validate the heat transfer simulation by TCNM, finite element simulation and thermal
 311 needle testing were also used to measure the λ_{eff} of the granular materials.

312 **3.4.1 Finite element simulation**

313 We follow the framework introduced by [Narsilio et al. \[58\]](#) for fluid flow and its adaption
 314 for heat transfer at the particle scale [\[32, 37, 59\]](#). For each sample, CT image stacks were
 315 imported to Simpleware ScanIP [\[60\]](#) to reconstruct the 3d microgeometry, segment the solid
 316 and void (Step 2 in Fig. 3), and generate meshes that are transferred to the finite element
 317 software COMSOL Multiphysics [\[61\]](#) for heat transfer simulation. *Fig. 9* shows the mesh of

318 Ottawa sand, the mesh size and sample size were decided after a sensitivity analysis. The input
 319 thermal conductivity of air and solid grains are same as that in TCNM (solids at 3 W/(m K),
 320 air at 0.025 W/(m K)). Similar to the simulation process in TCNM, the local temperature is
 321 first calculated by solving the governing balance energy equations for a system with thermal
 322 insulation on all sides and a small temperature differential between the top and bottom
 323 boundaries (Fig. 9). The local heat flux density Q_z is estimated from the local temperature field
 324 using Fourier's law. Finally, the integrated format $\frac{1}{A} \int_A Q_z dA$ in Equation 8 is used to determine
 325 the effective thermal conductivity of the sample. Additional details on this procedure can be
 326 found in papers [32, 37, 58].
 327



328
 329 Fig. 9. The finite elements and boundary condition used for simulating the heat transfer in Ottawa
 330 sand without loading.

331
 332 3.4.2 Laboratory measurement

333 A 100-mm long thermal needle probe with a diameter of 2.4 mm was used to measure the
 334 λ_{eff} in the laboratory. The diameter of the needle was selected to be larger than the particle
 335 diameter to maximize the contacts between particles and the thermal needle probe. The
 336 granular materials were air-pluviated into a PVC cylinder with a diameter of 50 mm and a
 337 height of 120 mm. We followed ASTM standard D5334-14 [62] to measure the thermal
 338 conductivity of the air-pluviated materials, achieving good accuracy at $\pm 10\%$ for 0.2 –
 339 4 W/(mK) [63].

340 3.5 Particle shape descriptors

341 Sphericity (S) and roundness (R) are two indicators that describe particle shape and can be
 342 calculated using Equations 9 [47] and 10 [64], respectively.

$$S = \frac{36\pi V^2}{SA^3} \quad (9)$$

343 where V is the particle volume and SA is the particle surface area.

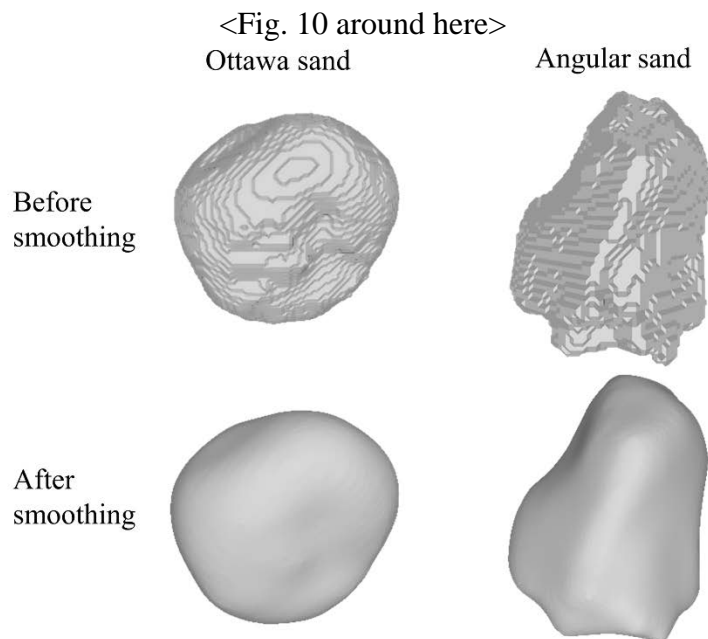
$$R = \frac{\sum r_i / N}{r_{max-in}} \quad (10)$$

344 where r_i is the radius of a particle corner, N is the total number of corners and r_{max-in} is the
 345 radius of the maximum inscribed sphere in the particle.

346 To calculate the sphericity and roundness of each particle automatically based on CT
 347 images, an in-house program has been developed [28]. Since the connected particles were
 348 separated in Step 3 (Fig. 3), the individual particles can be extracted from the samples. The
 349 surface mesh of the extracted particles from CT images have tooth-saw patterns (Fig. 10),
 350 which may overestimate the particle volume and particle surface area, so the Taubin smoothing
 351 algorithm [65] is applied to achieve a smooth particle surface (Fig. 10). Since the smooth
 352 particle surface is composed of triangles, the sum of each triangle surface area is the particle
 353 surface area. Similarly, a tetrahedron is constructed for each triangle by considering the centre
 354 of the particle, and the sum of the volume of all the tetrahedrons is the particle volume.

355 Identifying the corners in each particle is required and their radii are used to calculate the
 356 roundness using Equation 10. The maximum curvature of each vertex is first computed by
 357 quadratically fitting a microsurface using its ring adjacent vertices. Next, a quadratic
 358 polynomial equation can be obtained and the principal curvatures can be calculated by solving
 359 Hessian matrix [66] which created with coefficients in the equation. Then, corners are
 360 identified if the absolute value of the reciprocal of the curvature is smaller than r_{max-in} , the
 361 radius of the maximum inscribed sphere in the particle, and the reciprocal is selected as the
 362 radius of the corner r_i .

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365
 366 *Fig. 10. The Taubin smoothing algorithm is used to transform the particles with a tooth-saw surface*
 367 *to a smooth surface.*

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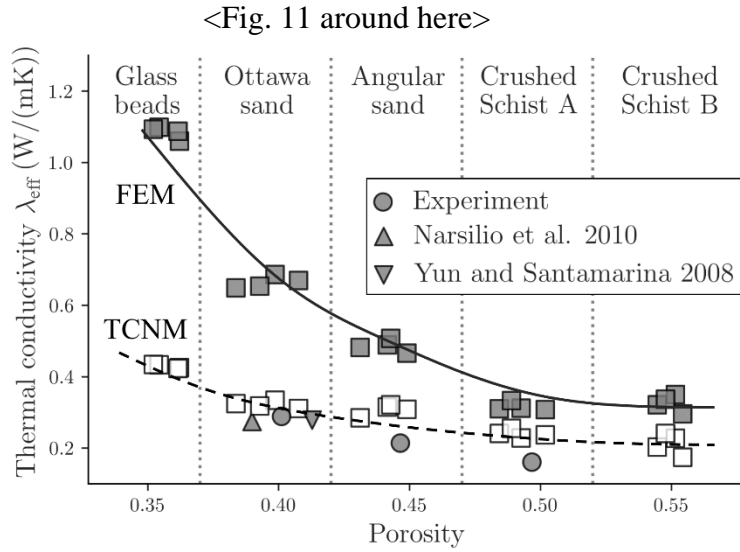
369 4 Results and discussion

370

371 4.1 Effective thermal conductivity comparisons

372 For each material shown in Fig. 2 under no pressure, four subsamples with a dimension of
 373 4.5 by 4.5 by 4.5 mm from random locations within the sample were selected to check the
 374 homogeneity of the sample. Their λ_{eff} were calculated by both FEM and TCNM, as shown in
 375 Fig. 11. Experimental measurements from the literature [32, 34] and our laboratory are also

376 included. The porosity of the experimental results is the mean value of the four subsamples in
 377 FEM and TCNM.
 378
 379



380
 381 *Fig. 11. The effective thermal conductivity calculated from TCNM compared with the finite element*
 382 *numerical and experimental results.*

383 Fig. 11 illustrates that the λ_{eff} from TCNM shows good agreement with the experimental
 384 results, despite a slight overestimation of λ_{eff} for high-porosity samples. Woodside and
 385 Messmer [67] indicate that an underestimation may occur in the thermal needle test because of
 386 the imperfect contact between the needle and particles. Moreover, the mineralogy in the real
 387 materials is not considered in simulations. The effective thermal conductivity from TCNM
 388 shows a moderate decreasing rate with porosity. This observation is consistent with the results
 389 from papers [32, 34] that reported small decreases in effective thermal conductivity when
 390 porosity is increased without loading. In contrast, the λ_{eff} from FEM shows a much larger
 391 overestimation, which can be attributed to the oversmoothing of the interparticle contact area
 392 as shown in Fig. 7 since interparticle contact dominates the heat transfer in dry granular
 393 materials [1]. The FEM simulation also has limited ability to capture inter-particle contact
 394 surface roughness so that the actual point-to-point contacts in real imperfect particle-contacts
 395 are overestimated as flat face-to-face contacts [54, 68]. The overestimation of FEM is most
 396 obvious for samples with low porosity. For glass beads, the FEM value is almost three times
 397 the TCNM value. A higher porosity in granular materials usually means fewer interparticle
 398 contacts (coordination number in Fig. 12 (d)), resulting in the lower overestimation in FEM.
 399 Thus, FEM predicts the effective thermal conductivity more accurately in dense granular
 400 materials whereas TCNM may render accurate predictions for a wider range of materials.

401 4.2 Variation of λ_{eff} under loading: a particle-scale analysis

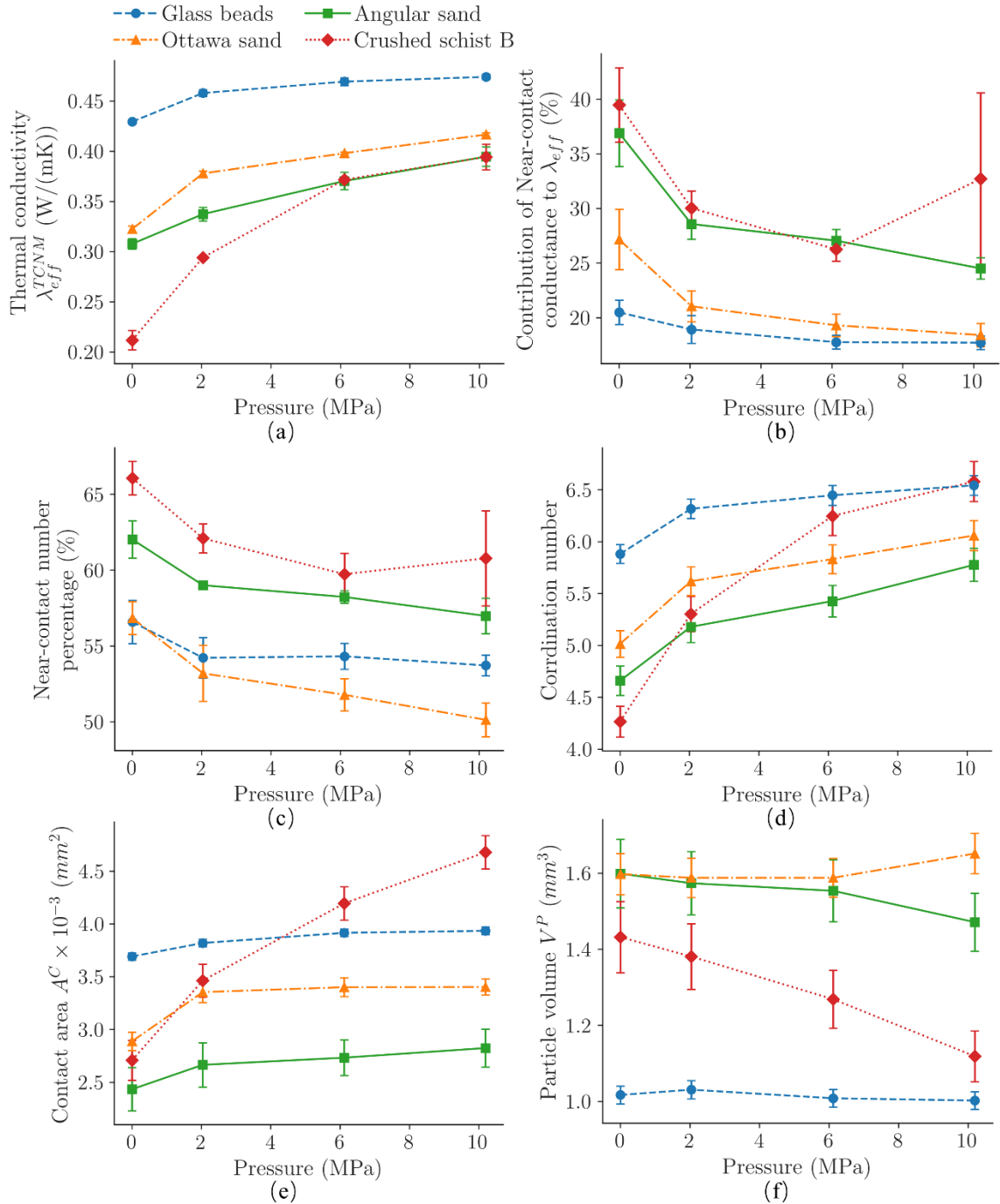
402 Another advantage of using TCNM to calculate λ_{eff}^{TCNM} is that the thermal conductances
 403 (Equations 4 and 6) between two particles can be readily computed at the microscale. Hence,
 404 the contribution of near-contact conductance (at the blue edges in Fig. 8) to the λ_{eff}^{TCNM} of a
 405 sample can be distinguished in the overall calculations by computing the difference of λ_{eff}^{TCNM}
 406 with and without near-contact conductance. Fig. 12 (a) shows the evolution of the average
 407 λ_{eff}^{TCNM} of the four subsamples of different materials under increasing loading. Round glass

408 beads show the largest λ_{eff}^{TCNM} compared with the λ_{eff}^{TCNM} of the most irregular crushed schist B,
409 which consistently showed the lowest conductivity among the four materials. Fig. 12 (b) shows
410 that the contribution of the near-contact conductance to the λ_{eff}^{TCNM} is the lowest in round glass
411 beads and highest in the schist B. Surprisingly, the contribution of the near-contact conductance
412 is approximately 40% in crushed schist B with no compression. Even for the dense irregular
413 sand (rounder than crushed schist B) under 10 MPa, the contribution still accounts for 25%.
414 The contribution will be higher with the increase of gas pressure in dry granular materials with
415 low porosity due to Smoluchowski effect (gas thermal conductivity reduces with the decreasing
416 pressure) [69, 70]. The high contribution of the near-contact conductance is related to the
417 number of near-contacts. As show in Fig. 3, two kinds of edges are created in a thermal
418 network; one type of edge only considers the pure near-contact and the other involves both
419 interparticle contact and near-contact. Indeed, Fig. 12 (c) shows that the percentage of the pure
420 near-contact in the materials under any loading is larger than 50%. A higher number of near-
421 contacts may indicate loose interparticle contacts. For instance, Angular sand has higher near-
422 contact count than Ottawa sand, in Fig. 12 (c), but less interparticle contacts (as shown by
423 coordination number in Fig. 12 (d)). Notably, the Ottawa sand has fewer near-contacts and real
424 interparticle contacts than glass beads.

425 For the sensitivity of λ_{eff}^{TCNM} to the loading, the λ_{eff}^{TCNM} of the four materials increase
426 substantially up to 2 MPa. During this loading period, the role of the near-contacts weakens in
427 contrast with the higher contribution of interparticle contact number (coordination number) in
428 Fig. 12 (d) and the interparticle contact quality (contact area) in Fig. 12 (e). When the load is
429 increased, the λ_{eff}^{TCNM} remains steady for glass beads and slowly increases for Ottawa sand and
430 angular sand. These trends are also observed in the variation of the coordination number but
431 not in the change in the contact area. Hence, the interparticle contact number may be more
432 important to heat transfer in granular materials than the near-contact and contact areas.
433 Furthermore, the ordering of the materials in Fig. 11, Fig. 12 (a), Fig. 12 (b) and Fig. 12 (d)
434 indicates that packings with more irregular particles could have higher porosity, lower
435 interparticle contact [1, 71] and a resulting lower λ_{eff}^{TCNM} . The λ_{eff}^{TCNM} of crushed schist B
436 reaches the same value as angular sand when the pressure is 6 MPa. The large increment of
437 λ_{eff}^{TCNM} for crushed schist B is due to the particle breakage (Fig. 13), which is indicated by the
438 distinct decrease in its particle volume, shown in Fig. 12 (f). The earlier particle breakage in
439 crushed schist B is because it contains a large proportion of biotite in the schist (Fig. 2) with
440 lower Mohs hardness (2.5 - 3) than that of quartz (7) composing Ottawa sand [72]. Particles in
441 crushed schist B with more irregular shape than the particles in Ottawa sand are more prone to
442 breakage [73].

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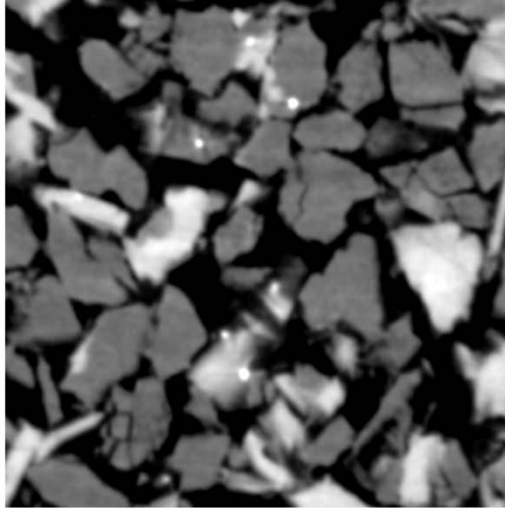
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446
 447 *Fig. 12. Contribution of the near-contact conductance to λ_{eff}^{TCNM} and microstructural analysis of the*
 448 *near-contact percentage, coordination number, contact area and particle volume. For the thermal*
 449 *conductivity, contribution of near-contact and near-contact percentage, the error bar shows the range*
 450 *of the average from four subsamples for each material. For others, the error bar shows the 95%*
 451 *confidence interval calculated on network nodes or edges of the combined set of the four subsamples.*

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<Fig. 13 around here>



454
455 *Fig. 13. Particle breakage in crushed schist B under 6 MPa.*

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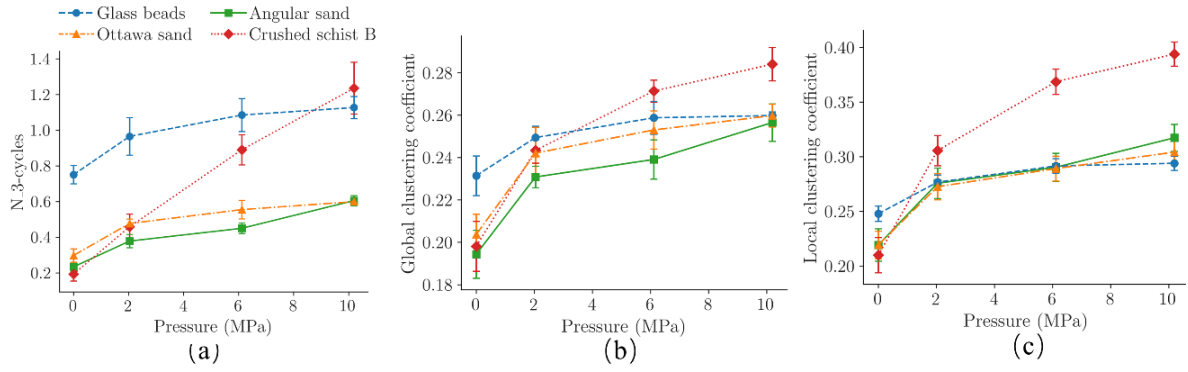
457 4.3 Variation in λ_{eff} under loading: Rigidity and a multi-scale analysis

458 Although the variation in the coordination number in Fig. 12 (d) indicates the sensitivity of
459 particle connectivity to compaction, the coordination number only describes the particle-scale
460 rather than the mesoscale structure. Since particle connectivity changes due to the particle
461 sedimentation and rotation during compression [40], N_3-cycles and clustering coefficients
462 can make up for the disadvantage of using the coordination number to determine the change in
463 the mesoscale structure and show the rigidity of the granular materials. We remind the readers
464 that a 3-cycle is the smallest arrangement of particles formed by 3 neighbouring particles in
465 contact, and that a higher count of 3-cycles structures than n-cycles ($n>3$) indicate higher
466 rigidity of the overall assembly, i.e., a low count of 3-cycles indicates that the granular material
467 is more deformable... Fig. 14 (a) shows that higher pressure results in a higher N_3-cycle
468 number. The round glass beads have the most N_3-cycles among all materials at almost all
469 levels of loading, which indicates that the regular particle packings are more rigid to the level
470 of loading [74]. The continuously increasing number of N_3-cycles in crushed schist B is due
471 to the decreasing particle volume, which means that the N_3-cycles reflect the particle
472 breakage in Fig. 14 (b). The ordering of the global clustering coefficient for all materials at
473 different levels is similar to that of N_3-cycles and its relationship with pressure in different
474 materials become closer. Moreover, the local clustering coefficient in Fig. 14 (c) can almost
475 unify the mesoscale structure change in the four granular materials under loading. Hence, it
476 was used to further analyze the relationship between the rigidity and λ_{eff}^{TCNM} in dry granular
477 materials.

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<Fig. 14 around here>



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Fig. 14. Variation of mesoscale structural features under pressure. For $N_{3-cycles}$ and global clustering coefficient, the error bar shows the range of the average from four subsamples for each material. For local clustering coefficient, the error bar shows the 95% confidence interval calculated on network nodes or edges of the combined set of the four subsamples.

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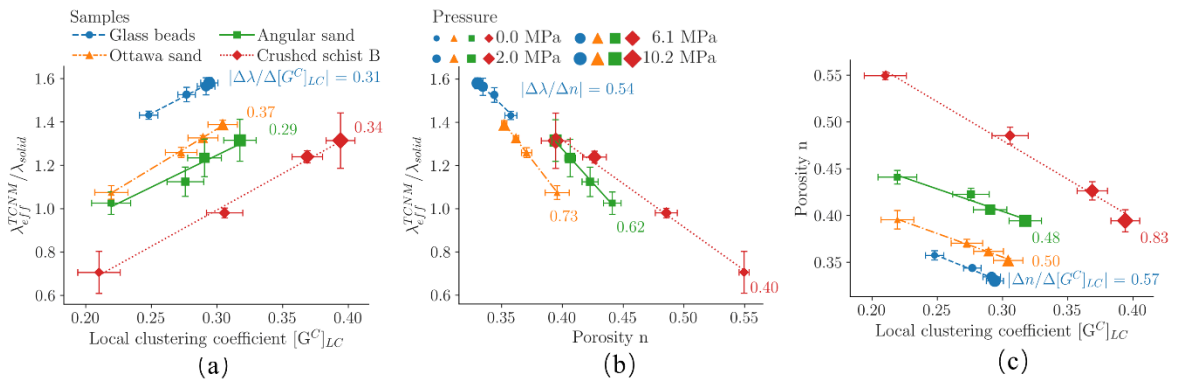
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Fig. 15 (a) shows that samples with a higher local clustering coefficient have a high normalized λ_{eff}^{TCNM} . Among the four materials, the range of the local clustering coefficient of round glass beads is narrow while that of the very irregular crushed schist sand is wide. Fig. 11As the local clustering coefficient quantifies the percentage of possible triangles through a node, the different range of the local clustering coefficient may be because of the different particle shape. The decreasing range of the local clustering coefficient from irregular crushed schist B to round granular materials also reveals that samples with a regular particle shape are more rigid to loading. A linear regression was also conducted to fit the relationship for each material. The fitted lines for the four materials have a similar slope, from 0.29 in angular sand to 0.37 in Ottawa sand, which indicates that local clustering coefficient as a rigidity feature can capture the similar impacts of deformation on heat transfer in different materials. The relationship between the traditional porosity and normalized thermal conductivity is shown in Fig. 15 (b). The λ_{eff}^{TCNM} decreases linearly for each sample. However, the decreasing rates exhibit differences of 0.40 in crushed schist B and 0.73 in Ottawa sand. As local clustering coefficient measures the density of triangles, a material with a larger local clustering coefficient means that it has more “triangles” and is denser. Hence the porosity reduces with the increase of local clustering coefficient as shown in Fig. 15 (c).

<Fig. 15 around here>



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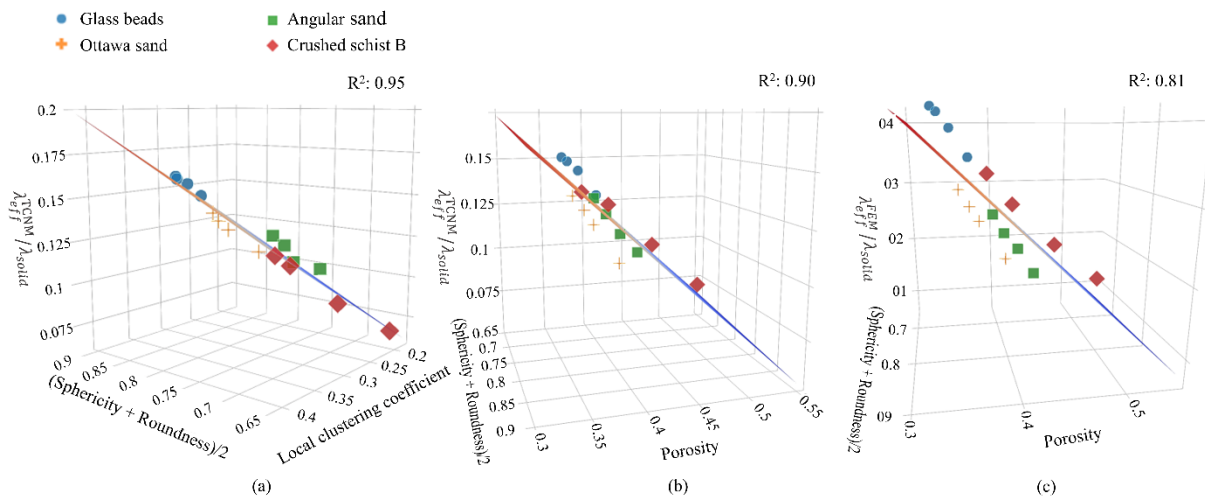
Fig. 15. The relationship between mesoscale local clustering coefficient, macroscale porosity and dimensionless λ_{eff}^{TCNM} calculated from TCNM. For thermal conductivity and porosity, the error bar

509 shows the range of the average from four subsamples for each material. For local clustering coefficient,
 510 the error bar shows the 95% confidence interval calculated on network nodes or edges of the combined
 511 set of the four subsamples.

512
 513 Since particle shape affects the contact conductance and the observed importance in Fig.
 514 15 (a), the average sphericity and roundness were employed to extend Fig. 15 (a) in three
 515 dimensions (Fig. 16 (a)). A plane also fits the relationship between the rigidity variable (local
 516 clustering coefficient), particle shape and λ_{eff}^{TCNM} . The results show that the correlation
 517 coefficient is high at 0.95, which indicates that a rigid structure variable with particle shape
 518 descriptors can be used to well predict the effective thermal conductivity of granular materials
 519 under deformation. Although still high, the correlation coefficient decreases to 0.90 if the
 520 traditional porosity is considered as the controlling variable instead of the local clustering
 521 coefficient (Fig. 16 (b)). To show the robustness of TCNM and derived nonconventional
 522 features, the relationship between the two microstructural parameters and the λ_{eff}^{FEM} calculated
 523 using FEM is depicted in Fig. 16 (c). After the linear regression, the correlation between them
 524 is lower, 0.81. The higher correlation coefficient in Fig. 16 (b) is because TCNM values are
 525 closer to the experimental results as shown in Fig. 11.

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 531 Fig. 16. The dimensionless λ_{eff}^{TCNM} shows a better relationship with particle shape and local
 532 clustering coefficient than with particle shape and porosity. (Click here to access the interactive
 533 graphs).

534 5 Conclusions

535 This work investigated the impact of microstructure variation on effective thermal
 536 conductivity. A thermal conductance network model (TCNM) was used to calculate the
 537 effective thermal conductivity λ_{eff} of granular materials based on CT images. By comparing
 538 the results with those from FEM and experimental measurements, the TCNM was found to be
 539 robust and without as much overestimation as FEM when calculating λ_{eff} . Since TCNM is
 540 derived from the thermal network by adding thermal conductance at network edges, it has
 541 another advantage over FEM in that the contribution of heat transfer from gaps and ‘near-

542 contacts' between particles can be identified. This work shows that this particular contribution
543 is larger in irregular granular particles than in more rounded and regular particles at
544 approximately 40% in crushed schist sand without loading. Additionally, three variables (3-
545 cycle, global clustering coefficient and local clustering coefficient) from the contact network
546 indicate the variation of the mesoscale structures of the granular packings under compaction.
547 Comparing their variation in all samples with the increasing loading indicates that the local
548 clustering coefficient may be best suited to quantify the 'rigidity' of granular materials. To
549 make up for the shortcoming of the mesoscale rigidity parameter, which does not have a direct
550 relation with the contact conductance, a microscale particle shape descriptor was calculated for
551 each particle in the granular materials. The local clustering and particle shape show higher
552 correlations with λ_{eff} (with a coefficient of correlation as high as 0.95) than the traditional
553 porosities of the materials. Hence, a mesoscale rigidity variable with microscale particle shape
554 descriptors can capture the underlying mechanisms. They can also describe and be used to well
555 predict λ_{eff} in granular materials at a variety of confinements.

556 Conflict of interest

557 The authors declared that there is no conflict of interest.

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565

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745

746 **List of Tables**
747 Table 1 Particle size characteristics of the selected granular materials
748

749 **List of Figures**

750

751 Fig. 1. In representing the structure of a granular material in the network, a triangular
752 structure (a '3-cycle' in complex network theory) is rigid whereas a quadrilateral structure is
753 deformable.

754 Fig. 2. Five natural sands with different particle shapes. The pictures in the first row were
755 photographed and the images in the second row were scanned with computed tomography.

756 Fig. 3. Procedures to construct a contact network and a thermal network. Contact edges are
757 in red, near-contact edges are in blue.

758 Fig. 4. Identification of near-contacts. ϵ is the threshold length ($D_{50}/4$ in this case) for near-
759 contacts.

760 Fig. 5. (a) A fractured network with a local clustering coefficient of 0.78 and global
761 clustering coefficient of 0.5 (b) An integrated network with a local clustering coefficient of
762 0.47 and global clustering coefficient of 0.47.

763 Fig. 6. Computation of thermal conductance in the thermal conductance network (TCNM).

764 Fig. 7. Over-smoothing of CT images after threshold segmentation: (a) Two discs with a 1-
765 pixel gap; (b) a small gap in grayscale; (c) over-smoothing in the contact after threshold
766 segmentation (after [42]).

767 Fig. 8. TCNM simulation results showing the temperature of each node. From this network
768 system, it is easy to see paths of heat transfer: interparticle contacts are shown in red and the
769 near-contacts are blue.

770 Fig. 9. The finite elements and boundary condition used for simulating the heat transfer in
771 Ottawa sand without loading.

772 Fig. 10. The Taubin smoothing algorithm is used to transform the particles with a tooth-saw
773 surface to a smooth surface.

774 Fig. 11. The effective thermal conductivity calculated from TCNM compared with the finite
775 element numerical and experimental results.

776 Fig. 12. Contribution of the near-contact conductance to λ_{eff}^{TCNM} and microstructural analysis
777 of the near-contact percentage, coordination number, contact area and particle volume. For the
778 thermal conductivity, contribution of near-contact and near-contact percentage, the error bar
779 shows the range of the average from four subsamples for each material. For others, the error
780 bar shows the 95% confidence interval calculated on network nodes or edges of the combined
781 set of the four subsamples.

782 Fig. 13. Particle breakage in crushed schist B under 6 MPa.

783 Fig. 14. Variation of mesoscale structural features under pressure. For N_3-cycles and
784 global clustering coefficient, the error bar shows the range of the average from four subsamples
785 for each material. For local clustering coefficient, the error bar shows the 95% confidence
786 interval calculated on network nodes or edges of the combined set of the four subsamples.

787 Fig. 15. The relationship between mesoscale local clustering coefficient, macroscale
788 porosity and dimensionless λ_{eff}^{TCNM} calculated from TCNM. For thermal conductivity and
789 porosity, the error bar shows the range of the average from four subsamples for each material.
790 For local clustering coefficient, the error bar shows the 95% confidence interval calculated on
791 network nodes or edges of the combined set of the four subsamples.

792 Fig. 16. The dimensionless λ_{eff}^{TCNM} shows a better relationship with particle shape and local
793 clustering coefficient than with particle shape and porosity. (Click here to access the interactive
794 graphs).